# Relational Algebra 

## Module 3, Lecture 1

## Relational Query Languages

* Query languages: Allow manipulation and retrieval of data from a database.
* Relational model supports simple, powerful QLs:
- Strong formal foundation based on logic.
- Allows for much optimization.
* Query Languages != programming languages!
- QLs not expected to be "Turing complete".
- QLs not intended to be used for complex calculations.
- QLs support easy, efficient access to large data sets.


## Formal Relational Query Languages

Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:

- Relational Algebra: More operational, very useful for representing execution plans.
(2) Relational Calculus: Lets users describe what they want, rather than how to compute it. (Non-operational, declarative.)
- Understanding Algebra \& Calculus is key to - understanding SQL, query processing!


## Preliminaries

*. A query is applied to relation instances, and the result of a query is also a relation instance.

- Schemas of input relations for a query are fixed (but query will run regardless of instance!)
- The schema for the result of a given query is also fixed! Determined by definition of query language constructs.
* Positional vs. named-field notation:
- Positional notation easier for formal definitions, named-field notation more readable.
- Both used in SQL


## Example Instances

| $R 1$ | $\underline{\text { sid }}$ | $\underline{\text { bid }}$ | $\underline{\text { day }}$ |
| :--- | :--- | :--- | :--- |
| 22 | 101 | $10 / 10 / 96$ |  |
| 58 | 103 | $11 / 12 / 96$ |  |

* "Sailors" and "Reserves" relations for our examples.
* We'll use positional or named field notation, assume that names of fields in query results are `inherited' from names of fields in query input relations.

$\boldsymbol{s 1}$| $\underline{\underline{s i d}}$ | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 22 | dustin | 7 | 45.0 |
| 31 | lubber | 8 | 55.5 |
| 58 | rusty | 10 | 35.0 |

S2 | sid | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

## Relational Algebra

* Basic operations:
- Selection $(\sigma)$ Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product (X) Allows us to combine two relations.
- Set-difference ( - ) Tuples in reln. 1, but not in reln. 2.
- Union (U) Tuples in reln. 1 and in reln. 2.
* Additional operations:
- Intersection, join, division, renaming: Not essential, but (very!) useful.
* Since each operation returns a relation, operations can be composed! (Algebra is "closed".)


## Projection

* Deletes attributes that are not in projection list.
* Schema of result contains exactly the fields in the projection list, with the same names that they

| sname | rating |
| :--- | :--- |
| yuppy | 9 |
| lubber | 8 |
| guppy | 5 |
| rusty | 10 | had in the (only) input relation.

* Projection operator has to eliminate duplicates! (Why??)
- Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)
$\pi$
(S2)
age
35.0
55.5
$\pi_{a g e}{ }^{(S 2)}$


## Selection

* Selects rows that satisfy selection condition.
* No duplicates in result! (Why?)
* Schema of result identical to schema of (only) input relation.
* Result relation can be the input for another relational algebra operation! (Operator composition.)

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 |
| 58 | rusty | 10 | 35.0 |

$$
\sigma_{\text {rating }>8}(S 2)
$$

| sname | rating |
| :--- | :--- |
| yuppy | 9 |
| rusty | 10 |

$\pi_{\text {sname, rating }}\left(\sigma_{\text {rating }>8}(S 2)\right)$

## Union, Intersection, Set-Difference

* All of these operations take two input relations, which must be union-compatible:
- Same number of fields.
- `Corresponding' fields have the same type.

| sid | sname | rating | age |  |
| :--- | :--- | :--- | :--- | :---: |
| 22 | dustin | 7 | 45.0 |  |
| 31 | lubber | 8 | 55.5 |  |
| 58 | rusty | 10 | 35.0 |  |
| 44 | guppy | 5 | 35.0 |  |
| 28 | yuppy | 9 | 35.0 |  |
| $S 1 \cup S 2$ |  |  |  |  |

* What is the schema of result?

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 |

$$
S 1-S 2
$$

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 31 | lubber | 8 | 55.5 |
| 58 | rusty | 10 | 35.0 |
| $S 1 \cap S 2$ |  |  |  |

## Cross-Product

* Each row of S1 is paired with each row of R1.
* Result schema has one field per field of S1 and R1, with field names `inherited' if possible.
- Conflict: Both S1 and R1 have a field called sid.

| (sid) | sname | rating | age | (sid) | bid | day |
| :---: | :--- | :---: | :--- | :---: | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 22 | 101 | $10 / 10 / 96$ |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

- Renaming operator: $\rho(C(1 \rightarrow \operatorname{sid} 1,5 \rightarrow \operatorname{sid} 2), S 1 \times R 1)$


## Joins

* Condition Join: $\quad R \bowtie{ }_{c} S=\sigma_{c}(R \times S)$

| (sid) | sname | rating | age | (sid) | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |

## $S 1 \bowtie_{S 1 . s i d}<$ R1.sid $R 1$

* Result schema same as that of cross-product.
* Fewer tuples than cross-product, might be able to compute more efficiently
* Sometimes called a theta-join.


## Joins

* Equi-Join: A special case of condition join where the condition $c$ contains only equalities.

| sid | sname | rating | age | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 103 | $11 / 12 / 96$ |

$S 1 \bowtie_{\text {sid }} R 1$

* Result schema similar to cross-product, but only one copy of fields for which equality is specified.
* Natural Join: Equijoin on all common fields.


## Division

* Not supported as a primitive operator, but useful for expressing queries like:

Find sailors who have reserved all boats.

* Let $A$ have 2 fields, $x$ and $y ; B$ have only field $y$ :
$-A / B=\{\langle x\rangle \mid \exists\langle x, y\rangle \in A \quad \forall\langle y\rangle \in B\}$
- i.e., $A / B$ contains all $x$ tuples (sailors) such that for every $y$ tuple (boat) in $B$, there is an $x y$ tuple in $A$.
- Or: If the set of $y$ values (boats) associated with an $x$ value (sailor) in $A$ contains all $y$ values in $B$, the $x$ value is in $A / B$.
* In general, $x$ and $y$ can be any lists of fields; $y$ is the list of fields in $B$, and $x \cup y$ is the list of fields of $A$.


## Examples of Division $A / B$

| sno pno | pno | pno | pno |
| :---: | :---: | :---: | :---: |
| s1 p1 | p2 | p2 | p1 |
| s1 p2 | B1 | p4 | p2 |
| s1 p3 |  | B2 | p4 |
| s1 p4 |  |  | B3 |
| s2 p1 | sno |  | B3 |
| s2 p2 | s1 |  |  |
| s3 p2 | s2 | sno |  |
| s4 p2 | s3 | s1 | sno |
| s4 p4 | s4 | s4 | s1 |
| A | A/B1 | A/B2 | A/B3 |

## Expressing $A / B$ Using Basic Operators

* Division is not essential op; just a useful shorthand.
- (Also true of joins, but joins are so common that systems implement joins specially.)
* Idea: For $A / B$, compute all $x$ values that are not `disqualified' by some $y$ value in $B$.
$-x$ value is disqualified if by attaching $y$ value from $B$, we obtain an $x y$ tuple that is not in $A$.

Disqualified $x$ values: $\pi_{x}\left(\left(\pi_{x}(A) \times B\right)-A\right)$

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A / B: \quad \pi_{x}(A)-\text { all disqualified tuples }
$$

Find names of sailors who've reserved boat \#103

* Solution 1: $\quad \pi_{\text {sname }}\left(\left(\sigma_{\text {bid=103 }}\right.\right.$ Reserves $) \bowtie$ Sailors $)$
* Solution 2: $\quad \rho\left(\right.$ Temp1, $\sigma_{\text {bid }=103}$ Reserves)
$\rho$ (Temp2, Temp1 $\bowtie$ Sailors)
$\pi_{\text {sname }}($ Temp 2$)$
* Solution 3: $\quad \pi_{\text {sname }}\left(\sigma_{\text {bid=103 }}(\right.$ Reserves $\bowtie$ Sailors $\left.)\right)$


## Find names of sailors who've reserved a red boat

* Information about boat color only available in Boats; so need an extra join:
$\pi_{\text {sname }}\left(\left(\sigma_{\text {color }}=\right.\right.$ 'red ${ }^{\prime}$ Boats $) \bowtie$ Reserves $\bowtie$ Sailors $)$
* A more efficient solution:

$$
\pi_{\text {sname }}\left(\pi_{\text {sid }}\left(\left(\pi_{\text {bid }} \sigma_{\text {color }}=\text { 'red }{ }^{\prime} \text { Boats }\right) \bowtie \operatorname{Res}\right) \bowtie \text { Sailors }\right)
$$

- A query optimizer can find this given the first solution!

Find sailors who've reserved a red or a green boat

* Can identify all red or green boats, then find sailors who've reserved one of these boats:

$$
\begin{aligned}
& \rho\left(\text { Tempboats, }\left(\sigma_{\text {color }}{ }^{\prime} \text { red' } \vee \text { color }=\text { ' } \text { green' }{ }^{\prime} \text { Boats }\right)\right) \\
& \pi_{\text {sname }}(\text { Tempboats } \bowtie \operatorname{Re} \text { serves } \bowtie \text { Sailors })
\end{aligned}
$$

* Can also define Tempboats using union! (How?)
*What happens if $\vee$ is replaced by $\wedge$ in this query?

Find sailors who've reserved a red and a green boat

* Previous approach won't work! Must identify sailors who've reserved red boats, sailors who've reserved green boats, then find the intersection (note that sid is a key for Sailors):
$\rho$ (Tempred, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color }}=\right.\right.$ red ${ }^{\prime}$ Boats $) \bowtie$ Reserves $\left.)\right)$
$\rho\left(\right.$ Tempgreen, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color }}=\right.\right.$ green' ${ }^{\prime}$ Boats $) \bowtie$ Reserves $\left.)\right)$
$\pi_{\text {sname }}(($ Tempred $\cap$ Tempgreen $) \bowtie$ Sailors $)$

Find the names of sailors who've reserved all boats

* Uses division; schemas of the input relations to / must be carefully chosen:
$\rho\left(\right.$ Tempsids, $\left(\pi_{\text {sid,bid }}\right.$ Reserves $) /\left(\pi_{\text {bid }}\right.$ Boats $\left.)\right)$
$\pi_{\text {sname }}($ Tempsids $\bowtie$ Sailors $)$
* To find sailors who've reserved all 'Interlake' boats:

$$
\ldots . . . \quad / \pi_{\text {bid }}\left(\sigma_{\text {bname }}=\text { Interlake }^{\prime} \text { Boats }\right)
$$

